

香港上海滙豐證券股份有限公司

HSBC Securities (Taiwan) Corporation Limited

受託買賣外國有價證券收費表

Fee Schedule for Foreign Securities Trading

手續費率表 Commission Fee Table

| 投資產品/市場 Investment Product/Market | 服務項目 Service Items | 收費標準 Fee Charged Standard |
|---|---|---|
| 1. 股票/指數股票型基金(Exchange Traded Funds) | | |
| 香港 HK Market | 買賣手續費 Commission Fee | 0.1% ~ 0.4% (按交易金額計算，但不可低於500 港幣) Range from 0.1% to 0.4% of transaction amount with a minimum of HK\$500 |
| | 集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out | 0% ~ 0.4% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣手續費。)免收手續費之交易皆須事先取得部門主管核准。 Range from 0% to 0.4% (If the beneficial ownership does not change, the fee can be waived. Zero commission shall obtain approval from Department Head before trade execution.) |
| 海外市場 Overseas Market | 買賣手續費 Commission Fee | 0.1% ~ 1% (按交易金額計算，但不可低於150 美元) Range from 0.1% to 1% of transaction amount with a minimum of US\$150 |
| | 集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out | 0% ~ 1% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣手續費) Range from 0% to 1% (If the beneficial ownership does not change, the transaction fee can be waived) |
| 2. 債券 (Bonds) | | |
| | 買賣手續費 Commission Fees | 0.1% ~ 1.5% (按交易面額計算) Range from 0.1% to 1.5% of face value |
| | 代收債券利息 Collection of Bond Interests | 免費 No charge |
| | 集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out | 0% ~ 1.5% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣手續費)。免收手續費之交易皆須事先取得部門主管核准。 Range from 0% to 1.5% (If the beneficial ownership does not change, the transaction fee can be waived). Zero commission shall obtain approval from Department Head before trade execution. |

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| 3. 境外共同基金 (Offshore Mutual Funds) | | |
| | <p>申購手續費 Commission Fee</p> | <p>0.1% ~ 3% (按共同基金之投資金額計算；相關基金通路報酬說明，請參閱基金相關須知及說明) Range from 0.1% to 3% of investment amount. Please refer to Fund Prospectus for other channel fee disclosure.</p> |
| | <p>贖回及轉換手續費 Fund Redemption or Switch Fee</p> | <p>免費 No Charge</p> |
| | <p>集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out</p> | <p>0% ~ 3% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣手續費)。免收手續費之交易皆須事先取得部門主管核准。 Range from 0% to 3% (If the beneficial ownership does not change, the commission fee can be waived.) Zero commission shall obtain approval from Department Head before trade execution.</p> |
| 4. 境外結構型商品 (Offshore Structured Notes) | | |
| | <p>不保本型境外結構型商品 (保本率小於95%) Non-Principal Floored Structured Note (floor redemption < 95% or no floor)</p> | <p>0.1% ~ 4% (按申購面額計算，由發行機構從所收投資本金中扣除後給付予本行)</p> <ul style="list-style-type: none"> • ≤ 1年,手續費收取不得超過2.5%; • > 1年,手續費收取不得超過1.8% x 年限,且最高不超過4%. <p>Range from 0.1% to 4% of the nominal amount of the trade. Fee is paid by issuer after receiving investment principal</p> <ul style="list-style-type: none"> • ≤ 1 year, fee is capped at 2.5%; • > 1 year, fee is 1.8% x no. of years^ capped at 4%. |
| | <p>保本型境外結構型商品 (保本率大於或等於95%) Principal Floored Structured Note (floor redemption >= 95%)</p> | <p>0.1% ~ 4% (按申購面額計算，由發行機構從所收投資本金中扣除後給付予本行)</p> <ul style="list-style-type: none"> • ≤ 1年,手續費收取不得超過1.2%; • > 1年,手續費收取不得超過0.9% x 年限,且最高不超過4%. <p>Range from 0.1% to 4% of the nominal amount of the trade. Fee is paid by issuer after receiving investment principal</p> <ul style="list-style-type: none"> • ≤ 1 year, fee is capped at 1.2%; • > 1 year, fee is 0.9% x no. of years^ capped at 4%. |

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| | <p>投資人提前贖回通路服務費用 Commission Fee on Early Redemption</p> | <p>0.1% to 1% (由發行機構從所應給付之款項中扣除後給付予本行。按投資人提前贖回價金收取)。 Range from 0.1% to 1% of the nominal amount of the trade. Fee is paid by issuer after receiving early unwind payment.</p> |
| | <p>集團內移轉或外部金融機構轉入或轉出 Internal or external securities transfer-in or out</p> | <p>0% ~ 4% (在最終受益人相同的情況下，依情況判斷，最低可免收買賣手續費)。免收手續費之交易皆須事先取得部門主管核准。 Range from 0% to 4% (If the beneficial ownership does not change, the commission fee can be waived.) Zero commission shall obtain approval from Department Head before trade execution.</p> |

(此表僅適用高資產營業部)