

駿利亨德森證券投資顧問股份有限公司 函

聯絡電話:(02) 2171-1686

受文者：匯豐(台灣)商業銀行股份有限公司

發文日期：中華民國 110 年 08 月 16 日

發文字號：駿顧字第 20210032 號

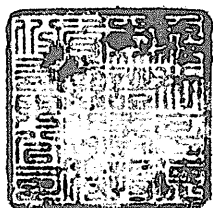
主旨：謹通知本公司總代理之駿利亨德森資產管理基金－駿利亨德森策略 Alpha 基金更名一事，詳如說明，敬請查照。

說明：

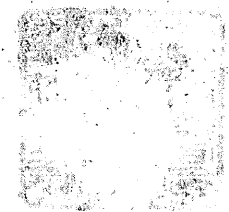
- 一、本公司總代理之駿利亨德森資產管理基金－駿利亨德森策略 Alpha 基金（本基金）之基金中英文名稱，擬由「駿利亨德森資產管理基金－駿利亨德森策略 Alpha 基金 (Janus Henderson Capital Fund－Janus Henderson Opportunistic Alpha Fund)」變更為「駿利亨德森資產管理基金－駿利亨德森美國逆勢機會基金 (Janus Henderson Capital Fund－Janus Henderson US Contrarian Fund)」，此基金名稱變更預計將於 2021 年 9 月 30 日生效，隨函檢附基金公司之股東通知函及其中譯文，請 查照。
- 二、本基金名稱變更以及附檔之股東通知信，須取得金融監督管理委員會（下稱金管會）之核准，目前尚在金管會審核，本次通知僅係配合境外基金機構訂定之全球統一公告日先行公告及通知，惟最終仍取決於金管會之核准。本公司將於收到金管會之核准函後，另行通知 貴公司。
- 三、以上，敬請查照。

附件：股東通知函及中譯文

駿利亨德森證券投資顧問股份有限公司



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(中譯文)

此係重要文件，請盡速閱讀。如果台端對應採取之行動有疑問，請洽詢台端的證券經紀商、銀行經理、律師、會計師或其他獨立財務顧問。

若台端已出售或轉讓手中持有之駿利亨德森策略 Alpha 基金(Janus Henderson Opportunistic Alpha Fund)之任何股份，請立即將本文件轉交買受人或受讓人，或轉交執行銷售或轉讓的證券商、銀行或其他代理人以儘速轉交買受人或受讓人。

本文件中英文字首大寫詞彙，其意涵一概與駿利亨德森資產管理基金（下稱「本公司」）西元 2021 年 6 月 30 日刊發之公開說明書（可能不時修正或補充）（下稱「公開說明書」）及駿利亨德森策略 Alpha 基金(Janus Henderson Opportunistic Alpha Fund)之補充文件（下稱「補充文件」）內英文字首大寫詞彙相同。如欲索取公開說明書，請於正常營業時間洽詢本公司註冊辦公室，或本公司子基金登記公開銷售之各地區代表。

依據愛爾蘭中央銀行（下稱「央行」）現行政策，本文件內容未經央行審核。

西元 2021 年 8 月 16 日

主旨：駿利亨德森美國策略 Alpha 基金(Janus Henderson Opportunistic Alpha Fund)之名稱變更

親愛的股東：

謹以本函通知台端（為本公司子基金駿利亨德森策略 Alpha 基金（「本基金」）之股東），本基金擬變更名稱。

本公司擬將本基金之名稱變更為「駿利亨德森美國逆勢逆勢機會基金」。變更基金名稱係為更適切反映本基金管理之方式，並使本基金名稱與同類型美國共同基金之名稱一致。

本基金投資政策中「策略 alpha」一語已修訂為「逆勢成長」一語，惟為免疑義，該修訂不構成投資政策之變更。

名稱變更將自西元 2021 年 9 月 30 日起生效。

請注意本公司未變更本基金管理之方式或其風險程度。台端毋須就本通知書採取任何行動。

若台端就本事項有任何疑問，應以上述地址聯繫本公司，或於適當時應洽詢台端之投資顧問、稅務顧問及/或法律顧問。

敬祝 商祺

_____(簽名)_____

董事

駿利亨德森資產管理基金

This document is important and requires your immediate attention. If you are in any doubt as to the action you should take you should seek advice from your stockbroker, bank manager, solicitor, accountant or other independent financial adviser.

If you have sold or transferred all of your shares in the Janus Henderson Opportunistic Alpha Fund, please pass this document at once to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible.

Capitalised terms used herein shall bear the same meaning as capitalised terms used in the prospectus for Janus Henderson Capital Funds plc (the "Company") dated 30 June 2021, as may be amended or supplemented from time to time (the "Prospectus") and the supplement for the Janus Henderson Opportunistic Alpha Fund (the "Supplement"). Copies of the Prospectus and Supplement are available upon request during normal business hours from the registered office of the Company or from the local representative of the Company in each jurisdiction in which the Fund is registered for public distribution.

In accordance with the current policy of the Central Bank, this document has not been reviewed by the Central Bank.

16 August 2021

Re: Notice of a change of name for Janus Henderson Opportunistic Alpha Fund

Dear Shareholder

We are writing to you as a Shareholder in the Janus Henderson Opportunistic Alpha Fund (the "**Fund**"), a sub-fund of the Company. The purpose of this circular is to provide you with prior notice of a change to the name of the Fund.

We are changing the name of the Fund to "Janus Henderson US Contrarian Fund". The rationale for changing the name of the Fund is to better reflect how the Fund is managed and to align the Fund's name with its US mutual fund equivalent.

References to "opportunistic alpha" in the investment policy of the Fund have been amended to refer to "contrarian" for the avoidance of confusion such amendments do not constitute a change to the investment policy.

The change of name will be effective from 30 September 2021.

Importantly, we are not changing the way the fund is managed or its risk profile. You do not need to take any action in response to this letter.

Additional Information

For Swiss investors, FIRST INDEPENDENT FUND SERVICES LTD., Klausstrasse 33, 8008 Zurich is the Swiss representative of the Company. The Paying Agent in Switzerland is Banque Cantonale de Genève, 17, quai de l'île, 1204 Geneva, Switzerland. The Extract Prospectus, the Swiss key investor information documents, the Company's Articles as well as the annual and semi-annual reports may be obtained free of charge from the Swiss Representative.

For German investors, State Street Bank International GmbH, Solmsstraße 83, 60486

Janus Henderson Capital Fund PLC

10 Earlsfort Terrace, Dublin 2, Ireland

T +353 1 920 1000


W janushenderson.com

Frankfurt am Main is the Information Agent, where the relevant prospectuses and key investor information, the Articles of Association and the annual and semi-annual reports are available free of charge.

For Belgian investors, CACEIS Belgium S.A., avenue du Port 86 C b320, B-1000 Bruxelles, Belgique is the intermediary in charge of the financial service in Belgium. The KIID (in English and French), the Prospectus, the Articles of association and the annual audited accounts and report (in English) of the Company can be obtained free of charge at the registered seat of the Company, and the intermediary in charge of the financial service in Belgium.

Should you have any questions relating to these matters, you should either contact us at the above address or alternatively you should contact your investment consultant, tax adviser and/or legal adviser as appropriate.

Yours sincerely,



Director
Janus Henderson Capital Funds plc

Janus Henderson Capital Fund PLC

10 Earlsfort Terrace, Dublin 2, Ireland

T +353 1 920 1000

W janushenderson.com

Directors: Mr. Carl O'Sullivan; Mr. Peter Sandys; Mr Ian Dyble (UK); Ms. Jane Shoemake (UK), Mr. Matteo Candolfini (Luxembourg).
An umbrella fund with segregated liability between sub-funds. Registered No. 296610; Registered Address: as above.