

檔 號：

保存年限：

# 野村證券投資信託股份有限公司 函

地址：11049臺北市信義路5段7號30樓  
承辦人：黃久俐  
電話：(02)87581574

受文者：臺灣土地銀行股份有限公司等

發文日期：中華民國110年4月29日

發文字號：野村信字第1100000220號

速別：普通件

密等及解密條件或保密期限：

附件：晉達資產管理公司中英文股東週年大會通知書及代表委任書

主旨：晉達環球策略基金(GSF)各子基金之2021年6月10日年度股東週年大會通知。

說明：

一、本公司經金融監督管理委員會核准，擔任晉達環球策略基金系列境外基金之總代理人，在台灣募集及銷售，合先敘明。

二、謹函轉晉達環球策略基金系列境外基金將於2021年6月10日下午4時整(歐洲中部時間)召開股東週年大會。

三、若欲委託投票者，請於函附之英文委託書上由有權人簽署(原留印鑑/簽名)並註明簽署日期，中文委託書僅為方便您閱讀之翻譯本，簽署於中文委託書上將不具效力。請於2021年6月8日下午4時整(歐洲中部時間)前傳真至+352 464 010 413，或郵電至luxembourg-domiciliarygroup@statestreet.com。

四、詳細內容請參閱隨函附件之中英文致股東週年大會通知書及代表委任書。

正本：臺灣土地銀行股份有限公司、星展(台灣)商業銀行信託部(T&O-WMO)、第一商業銀行股份有限公司信託處規劃部、台中商業銀行股份有限公司、高雄銀行股份有限



公司信託部、永豐商業銀行股份有限公司理財商品部、王道商業銀行股份有限公司財富管理部、王道商業銀行股份有限公司財富信託部、安泰商業銀行股份有限公司、玉山商業銀行股份有限公司信託部、日盛國際商業銀行股份有限公司、陽信商業銀行股份有限公司信託部、彰化商業銀行股份有限公司信託處、元大商業銀行股份有限公司、國泰世華商業銀行股份有限公司信託部(統編12163963)、遠東國際商業銀行股份有限公司投資顧問部、遠東國際商業銀行股份有限公司信託部、臺灣新光商業銀行股份有限公司(信託部)、臺灣新光商業銀行股份有限公司(財富管理部)、中國信託商業銀行股份有限公司、合作金庫商業銀行(信託部)、法商法國巴黎銀行台北分公司信託部、三信商業銀行股份有限公司(信託部)、瑞士商瑞士銀行股份有限公司台北分公司、華南商業銀行股份有限公司、京城商業銀行股份有限公司信託部、上海商業儲蓄銀行股份有限公司信託部、板信商業銀行股份有限公司信託部、兆豐國際商業銀行股份有限公司、台新國際商業銀行股份有限公司信託部、凱基商業銀行股份有限公司、聯邦商業銀行股份有限公司財管部、臺灣中小企業銀行股份有限公司、臺灣銀行股份有限公司信託部、台北富邦商業銀行股份有限公司、瑞興商業銀行股份有限公司信託部、容海國際證券投資顧問股份有限公司、凱基證券股份有限公司、群益金鼎證券股份有限公司、富邦綜合證券股份有限公司、華南永昌綜合證券股份有限公司、永豐金證券股份有限公司、柏瑞證券投資信託股份有限公司、統一綜合證券股份有限公司、基富通證券股份有限公司、中國人壽保險股份有限公司、元大人壽保險股份有限公司、全球人壽保險股份有限公司、法商法國巴黎人壽保險股份有限公司台灣分公司、南山人壽保險股份有限公司、英屬百慕達商安達人壽保險股份有限公司台灣分公司、國泰人壽保險股份有限公司、第一金人壽保險股份有限公司、富邦人壽保險股份有限公司、合作金庫人壽保險股份有限公司、安聯人壽保險股份有限公司、台灣人壽保險股份有限公司、宏泰人壽保險股份有限公司、三商美邦人壽保險股份有限公司、保誠人壽保險股份有限公司、花旗(台灣)商業銀行股份有限公司信託部、花旗(台灣)商業銀行股份有限公司財富管理暨行銷企劃處、香港商香港上海匯豐銀行股份有限公司台北分公司(Wealth Business Services Department)、香港商香港上海匯豐銀行股份有限公司台北分公司(Wealth Development Department)、渣打國際商業銀行股份有限公司信託部、兆豐證券股份有限公司、新光人壽保險股份有限公司、鉅亨證券投資顧問股份有限公司、中租證券投資顧問股份有限公司、元大證券投資信託股份有限公司、日盛證券投資信託股份有限公司、群益證券投資信託股份有限公司、富邦證券投資信託股份有限公司、合作金庫證券投資信託股份有限公司、安聯證券投資信託股份有限公司、復華證券投資信託股份有限公司、富蘭克林華美證券投資信託股份有限公司、保德信證券投資信託股份有限公司、統一證券投資信託股份有限公司、華南永昌證券投資信託股份有限公司、中國信託證券投資信託股份有限公司、國泰證券投資信託股份有限公司、台新證券投資信託股份有限公司、第一金證券投資信託股份有限公司、鋒裕匯理證券投資信託股份有限公司、瀚亞證券投資信託股份有限公司、街口證券投資信託股份有限公司、兆豐國際證券投資信託股份有限公司、華南產物保險股份有限公司、遠雄人壽保險事業股份有限公司、日盛證券股份有限公司、富邦產物保險股份有限公司

副本：





# 股東週年大會通告

## 晉達環球策略基金

可變更資本投資公司註冊辦事處：49, Avenue J.F. Kennedy L-1855 Luxembourg R.C.S.: B139420(「本公司」)

親愛的投資人：

本公司董事會誠意邀請您參與於2021年6月10日下午4時整（歐洲中部時間）於49 Avenue J.F. Kennedy L-1855 Luxembourg\*舉行的本公司投資者股東週年大會，大會議程如下：

## 議程

1. 提呈董事會報告。
2. 提呈會計師報告。
3. 通過截至2020年12月31日止的年度經審核的財務報表。
4. 分配截至2020年12月31日止的年度的業績淨額。
5. 同意董事卸任履行於截至2020年12月31日止的年度的職務。
6. 確認Nigel Smith辭任董事，並自2020年12月9日起生效。
7. 重選任期截至2022年度下一次股東週年大會的董事：
  - Kim McFarland女士;
  - Grant Cameron先生;
  - Gregory Cremen先生;
  - Matthew Francis先生;
  - Luke Mackowski先生;
  - Claude Niedner先生.
8. 重選KPMG Luxembourg, Société coopérative作為本公司的會計師，任期截至2022年度下一次股東週年大會。
9. 投票通過就所支付最多但不超過200,000美元的董事總酬金由重選的董事攤分，每名董事就是次股東週年大會至2022年度下一次股東週年大會期間可獲發不多於35,000美元。
10. 任何其他事務。

\*鑑於新冠肺炎疫情持續在全球擴散，股東週年大會可能需要在其他地點召開。舉行股東週年大會的地點如有任何更改，將於晉達網站([www.ninetyone.com](http://www.ninetyone.com))公佈，投資者敬請密切留意。請注意，正如本通知進一步說明，股東將僅可透過代表投票的形式參與股東週年大會。

## 投票

股東週年大會上可就議程上的項目作恰當的商議，並無任何法定人數要求；議程上各事項的議案可在是在次股東週年大會上的大多數有效票下合法地通過。每一股份有權投一票。鑑於2019新型冠狀病毒（Covid-19，又稱新冠肺炎）持續在全球擴散，加上各國政府及主管當局採取措施應對疫情，尤其是基於2020年9月23日頒佈有關舉行公司會議（涉及如本基金等公司）的盧森堡Grand Ducal Regulation，本公司的董事會決定股東參與股東週年大會的形式，將僅限於委任股東週年大會主席作為代表，代為於會上投票。

## 投票安排

1. 有權參與股東週年大會並於會上投票的股東，可透過委任主席作為代表，代為出席股東週年大會並於會上投票。
2. 請注意，僅於2021年6月4日下午4時整（歐洲中部時間）記錄在冊的投資人，方有權於是次股東週年大會上投票。
3. 請在代表委任書上簽署及填上日期，並於2021年6月8日下午4時正（歐洲中部時間）前，傳真至（+352）464 010 413、發送電郵至luxembourg-domiciliarygroup@statestreet.com或用隨附的回郵信封郵寄至本公司的註冊辦事處49 Avenue J.F. Kennedy, L-1855 Luxembourg。

年度報告及帳目可於[www.ninetyone.com](http://www.ninetyone.com)下載。若您欲免費收取年度報告的印刷版本，請致電+44 (0)20 3938 1800或電郵至[enquiries@ninetyone.com](mailto:enquiries@ninetyone.com)與我們聯絡。

## 承董事會命

晉達環球策略基金

主席

2021年4月29日

# 股東週年大會

## 代表委任書

2021年6月10日



晉達環球策略基金，可變更資本投資公司，49，Avenue J.F. Kennedy，L-1855 Luxembourg，R.C.S.: B139420(「本公司」)

本人／我們為下 \_\_\_\_\_ (請以英文正楷填寫姓名)

述簽署人 \_\_\_\_\_ (請以英文正楷填寫地址)

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作為本公司的註冊股東現委任大會主席為本人／我們的代表於2021年6月10日下午4時整(歐洲中部時間)於盧森堡49，Avenue J.F. Kennedy，L-1855 Luxembourg召開的股東週年大會及任何延期會議上代表本人／我們投票。就下列議決，大會主席根據以下填上「X」號的事項投票。若未有註明，大會主席可依照他／她認為恰當及股東的總持股投票或棄權。

議程	贊成	反對	棄權
1. 提呈董事會報告。	無需投票		
2. 提呈會計師報告。	無需投票		
3. 通過截至2020年12月31日止的年度經審核的財務報表。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. 分配截至2020年12月31日止的年度的業績淨額。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. 同意董事卸任履行於截至2020年12月31日止的年度的職務。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. 確認Nigel Smith辭任董事，並自2020年12月9日起生效。	無需投票		
7. 重選任期截至2022年度下一次股東週年大會的董事：			
— Kim McFarland女士；	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
— Grant Cameron先生；	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
— Gregory Cremen先生；	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
— Matthew Francis先生；	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
— Luke Mackowski先生；	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
— Claude Niedner先生。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. 重選KPMG Luxembourg, Société coopérative作為本公司的會計師，任期截至2022年度下一次股東週年大會。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. 投票通過就所支付最多但不超過200,000美元的董事總酬金由重選的董事攤分，每名董事就是次股東週年大會至2022年度下一次股東週年大會期間可獲發不多於35,000美元。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

大會主席可就大會議程的任何議案及於會前提出的其他適當事項，按他／她認為恰當的作出投票。

大會主席亦獲授權作出任何陳述、作出所有投票、簽署所有會議記錄及其他文件、作出任何合法、必須或有助完成及履行此代表委任書的事情（即使現有文件並沒有正式提及），以及按照盧森堡法律在公司註冊處進行任何註冊，下述簽署人承諾在任何時候被要求時同意由大會主席作出的所有前述行為。

若本次股東週年大會因任何原因休會、延期或再召開，現時的代表委任書仍然有效。

此代表委任書，以及下述簽署人及大會主席的權利、義務及責任受盧森堡法律約束，並不受制於**國際私法法規**。

由此委任引起、與之相關及因而出現的任何索償、糾紛或爭論，應由下述簽署人及代表向盧森堡市的法院提出，而每名下述簽署人及代表因應任何此等行動或訴訟將會受到此等法院的專屬管轄，並放棄對此等法院的專屬管轄或場地提出任何異議。

#### 賬戶號碼

\_\_\_\_\_

簽署日期

#### 附註

1. 本代表委任書必須於2021年6月8日下午4時整（歐洲中部時間）前傳真至(+352) 464 010 413、發送電郵至luxembourg-domiciliarygroup@statestreet.com，或以隨附的商業回郵信封寄回本公司位於49, Avenue JF Kennedy, L-1855 Luxembourg的註冊辦事處，由Zakia Aouinti收訖方為有效。
2. 若註冊持有人為公司，則本代表委任書須另行加蓋公司印鑑，或經由公司負責人或正式授權人親筆簽署。
3. 倘記錄為聯名股東，則就任何決議案投票時，本公司將接受排名最先之股東之投票，而其他聯名股東再無投票權。就此方面而言，排名先後乃依股東名冊內之排名次序而定。



# Notice of the Annual General Meeting

## **Ninety One Global Strategy Fund**

Société d'Investissement à Capital Variable. Registered Office: 49, Avenue J.F. Kennedy, L-1855 Luxembourg, R.C.S. Luxembourg B 139.420 (the "Company")

Dear Shareholder,

The Board of Directors of the Company has the pleasure of inviting you to participate in the ANNUAL GENERAL MEETING of shareholders of the Company which will be held at 4.00p.m. (CET) at 49, Avenue J.F. Kennedy, L-1855 Luxembourg\*, on 10 June 2021, with the following agenda:

### **Agenda**

1. Presentation of the Report of the Board of Directors.
2. Presentation of the Report of the Auditor.
3. Approval of the Audited Financial Statements for the year ended 31 December 2020.
4. Allocation of the net results for the year ended 31 December 2020.
5. Discharge of Directors with respect to the performance of their duties during the year ended 31 December 2020.
6. To acknowledge the resignation of Nigel Smith as Director with effect from 09 December 2020.
7. To re-elect as Directors for a term ending at the next Annual General Meeting to be held in 2022:
  - Ms. Kim McFarland;
  - Mr. Grant Cameron;
  - Mr. Gregory Cremen;
  - Mr. Matthew Francis;
  - Mr. Luke Mackowski;
  - Mr. Claude Niedner.
8. To re-elect KPMG Luxembourg, Société coopérative as Auditor of the Company for a term ending at the next Annual General Meeting to be held in 2022.
9. To vote upon payment of total combined remuneration of the Directors up to but not exceeding US\$200,000 to be apportioned between the re-elected and elected Directors, with no single Director receiving more than US\$35,000 for the period from this Annual General Meeting to the next Annual General Meeting to be held in 2022.
10. Any other business.

\*Due to the ongoing global coronavirus (COVID-19) pandemic there may be the need to hold the Annual General Meeting at another location. Shareholders are invited to check the Ninety One website ([www.ninetyone.com](http://www.ninetyone.com)) for any announcement of an alternative location for the holding of the Annual General Meeting. Please note that shareholder participation at the Annual General Meeting will be by proxy voting only, as explained further in this notice.

## Voting

The Annual General Meeting may validly deliberate on the items of the agenda without any quorum requirement and the resolution on each item of the agenda may validly be passed by the majority of the votes validly cast at such Annual General Meeting. Each share is entitled to one vote.

Due to the ongoing global coronavirus (COVID-19) pandemic and the measures implemented by governments and competent authorities in response, in particular the Luxembourg Grand Ducal Regulation dated 23rd September 2020 regarding meetings in companies like the Fund, the Board of Directors of the Company has decided shareholder participation at the Annual General Meeting will be by proxy voting only by the appointment of the Chairman of the Annual General Meeting as proxyholder.

## Voting arrangements

1. A shareholder entitled to participate and vote at the Annual General Meeting may do so by appointing the Chairman as proxy to attend and to vote instead of him/her.
2. Please be advised that only shareholders on record by 4:00p.m. (CET) on 04 June 2021 may be entitled to vote at this Annual General Meeting.
3. You are kindly requested to date, sign and return the Form of Proxy to the registered office of the Company at 49, Avenue J.F. Kennedy, L-1855 Luxembourg, by fax on (+352) 464 010 413, by email to [luxembourg-domiciliarygroup@statestreet.com](mailto:luxembourg-domiciliarygroup@statestreet.com) or by mail in the enclosed business reply envelope, no later than 4:00p.m. (CET) on 08 June 2021.

Copies of the Annual Report & Accounts for GSF can be found on our website [www.ninetyone.com](http://www.ninetyone.com). If you would like to request a printed copy, free of charge, please contact us on +44 (0)20 3938 1800 or by email to [enquiries@ninetyone.com](mailto:enquiries@ninetyone.com).

## By order of the Board of Directors

Ninety One Global Strategy Fund

Chairman  
29 April 2021



# Annual General Meeting

## Form of Proxy

on 10 June 2021



Ninety One Global Strategy Fund, Société d'investissement à capital variable, 49, Avenue J.F. Kennedy, L-1855 Luxembourg, R.C.S B 139.420 (the 'Company').

I/We the undersigned \_\_\_\_\_ (Full name(s) in block capitals)

of \_\_\_\_\_ (Address in block capitals)

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

being the registered holder(s) of Shares of the Company hereby appoint the Chairman of the meeting as my/our proxy to vote for me/us and on my/our behalf at the Annual General Meeting of the Company to be held in Luxembourg at 49, Avenue J.F. Kennedy, L-1855 Luxembourg, on 10 June 2021 at 4:00p.m. (CET) and any adjournment thereof. In respect of the undermentioned Resolutions, the Chairman is to vote as indicated by an 'X' below. Where no indication is given, the Chairman will vote or abstain as he/she thinks fit and in respect of the Member's total holding.

### Agenda

	For	Against	Abstain
1. Presentation of the Report of the Board of Directors.			No vote required
2. Presentation of the Report of the Auditor.			No vote required
3. Approval of the Audited Financial Statements for the year ended 31 December 2020.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Allocation of the net results for the year ended 31 December 2020.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Discharge of Directors with respect to the performance of their duties during the year ended 31 December 2020.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. To acknowledge the resignation of Nigel Smith as Director with effect from 09 December 2020.			No vote required
7. To re-elect as Directors for a term ending at the next Annual General Meeting to be held in 2022:			
– Ms. Kim McFarland;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
– Mr. Grant Cameron;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
– Mr. Gregory Cremen;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
– Mr. Matthew Francis;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
– Mr. Luke Mackowski;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
– Mr. Claude Niedner.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. To re-elect KPMG Luxembourg, Société coopérative as Auditor of the Company for a term ending at the next Annual General Meeting to be held in 2022.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. To vote upon payment of total combined remuneration of the Directors up to but not exceeding US\$200,000 to be apportioned between the re-elected Directors, with no single Director receiving more than US\$35,000 for the period from this Annual General Meeting to the next Annual General Meeting to be held in 2022.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

The Chairman will vote on any of the resolutions on the agenda of the meeting and such other business as may properly come before the meeting as he/she may think fit.

The Chairman is furthermore authorised to make any statement, cast all votes, sign all minutes of meetings and other documents, do everything which is lawful, necessary or simply useful in view of the accomplishment and fulfilment of the present proxy, even if not formally mentioned in the present documents, and to proceed, in accordance with the requirements of Luxembourg law, to any registration with the Companies' Registrar, while the undersigned promises to ratify all said actions taken by the Chairman whenever requested.

The present proxy will remain in force if this Annual General Meeting, for whatsoever reason, is adjourned, postponed or reconvened.

This proxy, and the rights, obligations and liabilities of the undersigned and the Chairman hereunder, shall be governed by the laws of Luxembourg, to the exclusion of its rules of conflicts of laws.

Any claims, disputes or disagreements arising under, in connection with or by reason of this proxy shall be brought by the undersigned and the proxyholder before the courts of Luxembourg-City, and each of the undersigned and the proxyholder hereby submits to the exclusive jurisdiction of such courts in any such action or proceeding and waives any objection to the jurisdiction or venue of such courts.

**Account number(s)**

<b>Signed</b>	<b>Date</b>

**Notes**

1. To be valid, this Form of Proxy must be received by the Domiciliary Department at the registered office of the Company at 49, Avenue J.F. Kennedy, L-1855 Luxembourg, by fax on (+352) 464 010 413, by email to luxembourg-domiciliarygroup@statestreet.com or by mail in the enclosed business reply envelope, no later than 4:00p.m. (CET) on 8 June 2021.
2. If the registered holder is a corporation, this Form of Proxy must be either under its common seal or under the hand of a duly authorised officer or attorney.
3. In the case of joint holders of record, the vote of the senior who tenders a vote shall be accepted to the exclusion of the votes of the other joint holders, and for this purpose seniority shall be determined by the order in which the names stand in the register of shareholders.

此乃重要文件，務請即時詳閱。  
如有任何疑問，請諮詢專業意見。



親愛的投資人：

謹此寄上股東週年大會通告（「週年大會」）及代表委任書。

此外，隨函亦附上「重要資料」一頁。建議您加以詳閱，因其載有洗錢防制條例、英國申報基金身份（與英國納稅人相關）及以聯名或共同帳戶持有晉達資產的客戶重要須知的相關資料。

#### 股東週年大會通知及代表委任書

股東週年大會將於 2021 年 6 月 10 日下午 4 時（歐洲中部時間）舉行。鑑於 2019 新型冠狀病毒（Covid-19，又稱新冠肺炎）持續在全球擴散，加上各國政府及主管當局採取措施應對疫情，尤其是基於 2020 年 9 月 23 日頒佈有關舉行公司會議（涉及如本基金等公司）的盧森堡 Grand Ducal Regulation，董事局決定股東參與股東週年大會的形式，將僅限於委任大會主席作為代表，代為於會上投票。我們建議您填妥及簽署代表委任書，並以隨附的商業回郵信封寄回至本公司於 49, Avenue J.F. Kennedy, L-1855 Luxembourg 的註冊辦事處。另外，已簽妥的委任書亦可傳真至(+352) 464 010 413，或電郵（較佳方式）至 [luxembourg-domiciliarygroup@statestreet.com](mailto:luxembourg-domiciliarygroup@statestreet.com)。您已簽妥的委任書必須不遲於 2021 年 6 月 8 日下午 4 時正（歐洲中部時間）交回，並由我們收訖方為有效。



#### 晉達環球策略基金

註冊辦事處：  
49, Avenue J.F. Kennedy  
L-1855 Luxembourg

郵寄地址：  
c/o RBC Investor Services Bank S.A.  
14, Porte de France  
L-4360 Esch-sur-Alzette  
Luxembourg

#### 晉達基金中心

環球  
電話 +44 (0) 20 3938 1800  
傳真 +352 2460 9923  
[enquiries@ninetyone.com](mailto:enquiries@ninetyone.com)

南非  
電話 0860 500 900  
傳真 0861 500 900  
[saoffshore@ninetyone.com](mailto:saoffshore@ninetyone.com)

香港  
電話 +852 2861 6888  
傳真 +852 2861 6861  
[hongkong@ninetyone.com](mailto:hongkong@ninetyone.com)

新加坡  
電話 +65 6653 5550  
傳真 +65 6653 5551  
[singapore@ninetyone.com](mailto:singapore@ninetyone.com)

[www.ninetyone.com](http://www.ninetyone.com)

## 基金的變更

茲通知各位投資人，下表所載與若干子基金的變動有關的通知可於網站 [www.ninetyone.com](http://www.ninetyone.com) 查閱。

**此乃重要通知，投資人務請即時詳閱。若您是以下一檔或多檔子基金的投資人，我們建議您應瀏覽我們網站上「基金及相關文件」的「股東通告」部份，以全面閱讀適用的通知。有關通知包括子基金變動及其對您投資的影響等重要資訊。如有疑问，請尋求專業意見。**

我們必須就子基金的重要變動向投資人發出至少一個月的通知。下表載列每項變動的生效日期。若您是有關子基金的投資人，而且不擬參與有關變動，則可將您的投資轉換為 GSF 系列的另一檔子基金或贖回您的投資。若您希望在生效日期之前進行轉換或贖回，則您的指示必須在網站通知所示日期之前送達。GSF 或晉達將不會就任何有關轉換或贖回而向您收取任何費用。

變更	子基金	生效日期
可持續發展金融揭露條例*	所有 GSF 子基金。環球環境基金已收到獨立信件	2020 年 12 月 11 日
投資政策的變更*	環球環境基金	(略譯)
(略譯)*	(略譯)	
(略譯)	(略譯)	
(略譯)	(略譯)	
中國大陸的投資比重	相關子基金	
委任副投資經理*	目標回報債券基金	
交易日期假期表*	所有 GSF 子基金	
(略譯)	(略譯)	
更改名稱、投資目標和策略、參考（基本）貨幣並進行合併，以整合各高收益債券基金	歐洲高收益債券基金及環球高收益債券基金	2021 年 6 月 11 日
基金合併	Global High Yield Bond Fund into Global High Yield Fund	2021 年 7 月 9 日
更改交易指示截止時間	所有 GSF 子基金	2021 年 7 月 31 日

\* 投資人將不會獲發這些通知的印刷本。如欲收取有關通知的印刷本，可於本信函首頁底部的郵寄地址或電郵地址索取，費用全免。

## 私隱聲明

茲通知各位投資人，基於英國脫離歐盟，晉達的私隱聲明已相應作出更新。私隱聲明作出變動，以反映英國不再是歐盟成員國。換言之，當 GSF 投資人的個人資料被傳送至英國處理時，即代表有關處理程序將在歐盟以外進行。更新後的私隱聲明可於以下網站：[www.ninetyone.com/privacynotice](http://www.ninetyone.com/privacynotice) 查閱。如欲收取更新後的私隱聲明印刷本，可於本信函首頁底部的郵寄地址或電郵地址索取，費用全免。

## 您更改地址的通知

若您是個人投資人，並更改了地址，則需要通知我們有關更改。您可於我們的網站下載更改地址表格。帳戶所列的每名股東均須提供地址證明文件。我們接受以下任何一種文件的正本或經核證副本：公用事業賬單（最近三個月內）、原籍國的當地稅單（當年有效）、郵寄至您所述住址的相關稅務機關通訊，或由業主提供的有效租賃協議。更改地址表格必須由帳戶的所有股東簽署。我們將更新我們的紀錄，但為安全起見，我們將同時發送確認函至您的舊地址及新地址。請注意，基於盧森堡法律的限制，我們無法把任何文件退還您。若您並未通知我們更改地址，可能會導致您其後無法交易或收取出售投資所得收益。

## 市場展望

有關我們最新的市場回顧，可瀏覽 [www.ninetyone.com](http://www.ninetyone.com)。

## 線上瀏覽

如欲登入晉達資產管理的網上帳戶服務「Indicator」，您可瀏覽 <https://indicator.ninetyone.com>。

「Indicator」可給您提供各項結算及歷史交易資訊（以您選擇的貨幣）。有關獲取我們基金的最新資訊，可瀏覽 [www.ninetyone.com](http://www.ninetyone.com)。您亦可於網站內獲取歷史表現，基金比較，基金淨值及配息資訊。

## 報告及帳目

GSF 的年報及帳目（僅提供英文版本）可於 [www.ninetyone.com/igsfra](http://www.ninetyone.com/igsfra) 下載。倘您欲免費索取年度報告的印刷版本，請依本信件頁首的聯絡資料於一般辦公時間內與我們聯絡。

### 更多資訊

倘您欲索取更多有關本信函內容的資料，請先聯絡您的財務及/ 或稅務顧問。晉達團隊亦樂意為您提供協助。有關我們基金的進一步資料，可瀏覽我們的網站 [www.ninetyone.com](http://www.ninetyone.com)。

感謝您一直以來的支持。



Grant Cameron

董事

謹啟



Matthew Francis

董事

2021 年 4 月 29 日

# 重要資料

## 洗錢防制條例

盧森堡防洗錢條例要求我們持有股東名冊內所有客戶的有效身份證明文件（即護照），以履行我們「認識您的客戶」之責任。這代表當我們持有的您身份證明文件逾期時，我們須要求您提供新身份證明文件的經核證真實副本，謹此感謝客戶合作。若您能迅速提供我們不定時要求的額外文件，將有助我們遵循法定要求，從而有效地管理您的帳戶。

## 英國申報基金身份（與英國納稅人相關）

於每年12月31日（晉達環球策略基金的會計年結日）持有晉達環球策略基金子基金股份的英國投資人，或須就子基金向其支付或累計的收益繳納稅項。稅務狀況將視乎投資人所持股份屬收益或累積股份而有所不同。

就累積股份持有人而言，稅務狀況亦取決於其曾否就英國稅務目的而在子基金獲授申報基金身份前的曆年結束日（2010年12月31日）作出視為出售股份行動。

該視為出售行動會將由購入股份至視為出售當天（即2010年12月31日）止期間的任何收益實現（若出售被視為虧損則毋須作出選擇）。

- 收益股份持有人須就實際分派收益及任何未分派的超額申報收益繳納英國所得稅。
- 作出視為出售行動或其股份於2010年12月31日錄得虧損的累積股份持有人須就申報收益繳納英國所得稅。
- 決定不作出視為出售行動及其股份於2010年12月31日錄得收益的累積股份持有人仍須就出售股份所得的收益繳納英國所得稅。

子基金於12月31日止年度的申報收益詳情已載於網站 [www.ninetyone.com/reportingfunds](http://www.ninetyone.com/reportingfunds)。該網址將連接至外部網站，您須提供姓名和電郵地址、接受使用者條款及設定密碼進行登記，以閱覽所有基金報告。英國投資人需要申報收益資料以填寫英國報稅表。

# 重要資料 (續)

## 以聯名或共同帳戶持有晉達資產的客戶在股東不幸身故時的重要須知

若聯名或共同帳戶的一名股東不幸身故，而您希望重新登記股東資料，可參照下文所載的詳細程序。程序將視乎您所持屬聯名帳戶或共同帳戶而有所不同。

### 聯名帳戶

聯名帳戶是指由兩人或以上開立的帳戶，並已要求及核准授權以容許任何聯名持有人代表帳戶的所有股東簽署（「聯名簽署免責」）。就此等帳戶而言，假設帳戶正常運作，尚存持有人可以其名義重新登記股東資料於新的帳戶內。進行重新登記的申請須由所有尚存帳戶持有人發出指示、提供死亡證的經核證副本，以及由尚存持有人填妥新登記表格，方獲辦理。

### 共同帳戶

共同帳戶是指由兩人或以上開立的帳戶，但並無要求或核准授權以容許任何聯名持有人代表所有股東簽署。在此基礎下，任何涉及帳戶的行動均必須由共同帳戶的所有股東簽署。

就此等帳戶而言，由於不設聯名簽署免責，我們需要客戶提供死亡證的經核證副本、遺囑及遺囑認證書。除以新股東的名義重新登記股東資料外，我們亦須客戶提供詳列合資格受益人的新登記表格及股東發出的指示，包括遺產代理人。

若您不確定您目前開立的帳戶屬聯名抑或共同帳戶，請與我們聯絡。如對尚存股東的權利有任何疑問，請諮詢獨立法律顧問意見。





THIS DOCUMENT IS IMPORTANT AND  
REQUIRES YOUR ATTENTION. IF IN DOUBT,  
PLEASE SEEK PROFESSIONAL ADVICE.

29 April 2021

Dear Investor,

We are pleased to send you the notice of the Annual General Meeting ('AGM') and the Form of Proxy.

Also, attached to this letter is an 'Important information' page. You are advised to read it as it contains information on Anti-Money Laundering regulations, the UK Reporting Fund status (relevant to UK taxpayers), and an important notice for clients with Ninety One holdings in a joint or common account.



#### **Notice of the Annual General Meeting ('AGM') and Form of Proxy**

The AGM is on 10 June 2021 at 4:00p.m. (CET). Due to the ongoing global coronavirus (COVID-19) pandemic and the measures implemented by governments and competent authorities in response, in particular the Luxembourg Grand Ducal Regulation dated 23 September 2020 regarding meetings in companies like the Fund, the Board of Directors has decided shareholder participation at the AGM will be by proxy voting only by the appointment of the chairman as proxyholder. We encourage you to complete and sign the Form of Proxy, and return it to us using the business reply envelope supplied to the registered office of the Company at 49, Avenue J.F. Kennedy, L-1855 Luxembourg. Alternatively, the signed Form can be sent by fax on (+352) 464 010 413 or preferably by email to [luxembourg-domiciliarygroup@statestreet.com](mailto:luxembourg-domiciliarygroup@statestreet.com). For your vote to be valid, your signed Form needs to be received by us no later than 4:00p.m. (CET) on 08 June 2021.

#### **Ninety One Global Strategy Fund**

**Registered office:**  
49, Avenue J.F. Kennedy  
L-1855 Luxembourg

**Postal address:**  
c/o RBC Investor Services Bank S.A.  
14, Porte de France  
L-4360 Esch-sur-Alzette  
Luxembourg

#### **Ninety One Fund Centres**

**Global**  
T +44 (0) 20 3938 1800  
F +352 2460 9923  
[enquiries@ninetyone.com](mailto:enquiries@ninetyone.com)

**South Africa**  
T 0860 500 900  
F 0861 500 900  
[saoffshore@ninetyone.com](mailto:saoffshore@ninetyone.com)

**Hong Kong**  
T +852 2861 6888  
F +852 2861 6861  
[hongkong@ninetyone.com](mailto:hongkong@ninetyone.com)

**Singapore**  
T +65 6653 5550  
F +65 6653 5551  
[singapore@ninetyone.com](mailto:singapore@ninetyone.com)

[www.ninetyone.com](http://www.ninetyone.com)

## Fund changes

Investors are notified that notices relating to changes to certain sub-funds, as set out in the table below, have been placed on the website [www.ninetyone.com](http://www.ninetyone.com).

**The notices are important and require investors' attention. If you are an investor in one or more of the sub-funds set out below, we encourage you to visit 'Fund announcements' within the 'Funds & strategies' area on our website to review the applicable notice in full. The notice contains important information regarding the change(s) to the sub-fund and its effect on your investment. If in doubt, please seek professional advice.**

We are required to give investors at least one month's notice of a significant change to a sub-fund. We have set out the effective date of each change in the table below. If you are an investor in the sub-fund and you are not happy with the change, you may switch your investment into another sub-fund within the GSF range or redeem your investment. If you wish to switch or redeem prior to the effective date, your instruction must be received by the date set out in the notice on the website. You will not be charged for any such switch or redemption by GSF or Ninety One.

Change	Sub-fund(s)	Effective date
Sustainable Finance Disclosure Regulations*	All GSF sub-funds. Separate letter for Global Environment Fund	11 December 2020
Investment policy change*	Global Environment Fund	
Management fee reduction*	Global Environment Fund, J/JX share classes	
Name, investment policy, performance target and global exposure calculation method change	Global Diversified Growth Fund	
Name, investment policy and global exposure calculation method change	Global Diversified Growth (Euro) Fund	01 June 2021
Investment exposure to Mainland China	Various sub-funds	
Sub-Investment Manager delegation*	Target Return Bond Fund	
Dealing day holidays*	All GSF sub-funds	
Fund closure	Asia Local Currency Bond Fund	
Consolidation of High Yield Bond Funds by a change of name, investment objective and policy, reference currency and merger	European High Yield Bond Fund and Global High Yield Bond Fund	11 June 2021
Fund merger	Global High Yield Bond Fund into Global High Yield Fund	09 July 2021
Trade order cut-off time change	All GSF sub-funds	31 July 2021

\* Paper copies of these notices are not being mailed to investors. Should you wish to receive a paper copy of a notice, this may be obtained free of charge by submitting a request to the postal address or email address appearing at the bottom of the first page of this letter.

### Privacy notice

Investors are notified that the Ninety One Privacy Notice has been updated in the context of the United Kingdom's exit from the European Union. The change made to the privacy notice reflects that the United Kingdom is no longer a European Union member state. This means when GSF investor personal data is transferred to and processed in the United Kingdom, such processing will be performed outside of the European Union. The updated Privacy Notice is available at the following website address: [www.ninetyone.com/privacynotice](http://www.ninetyone.com/privacynotice). Should you wish to receive a paper copy of the updated Privacy Notice, this may be obtained free of charge by submitting a request to the postal address or email address appearing at the bottom of the first page of this letter.

### Notification of a change of your address

If you are an individual investor and you change your address, you need to notify us of this change. You can do this by downloading the change of address form from our website. We will also require a proof of address document for each shareholder listed on the account. We can accept any one of the following documents in original or original certified format: a Utility Bill (not more than three months old), a home country local Tax Bill (valid for the current year), relevant tax authority correspondence addressed to you at the mentioned residential address, or a valid lease agreement provided by the property owner. The change of address form needs to be signed by all shareholders on the account. We will update our records and, for security purposes, will send an acknowledgment to you at both the old and new addresses. Please note we are unable to return any documentation to you as this is not permitted under Luxembourg Law. If you do not let us know of a change in your address, this may later result in you not being able to transact or receive proceeds from the sale of your investment.

### Market views

For our latest market views, please visit [www.ninetyone.com/marketviews-int](http://www.ninetyone.com/marketviews-int).

### Online access

If you would like to access Indicator, Ninety One's online account service, please visit <https://indicator.ninetyone.com>. Indicator gives you access to valuations and transaction histories in your preferred choice of currency. For keeping up to date with the latest information on our funds please visit [www.ninetyone.com](http://www.ninetyone.com), where you can chart performance histories, compare funds and source fund prices and dividend information.

### Report & Accounts

Copies of the Annual Report & Accounts for GSF can be found on our website, [www.ninetyone.com/gsfra](http://www.ninetyone.com/gsfra). If you would like to request a printed copy, free of charge, please contact us during normal business hours using the details at the beginning of this letter.

### More information

If you would like further information regarding the enclosed, please contact your financial and/or tax advisor in the first instance, or Ninety One. For more information on our funds, please visit our website, [www.ninetyone.com](http://www.ninetyone.com).

Thank you for your continued investment.

Yours faithfully,



Grant Cameron  
Director



Matthew Francis  
Director

# Important information

## Anti-Money Laundering ('AML') Regulations

The Luxembourg AML Regulations require that we hold a current valid identification document (i.e. passport) for all clients on the share register to meet our Know Your Client obligations. This means that when the ID document we hold for you has expired, we will have to request a certified true copy of your new identification document. We appreciate the co-operation we receive from our clients. By supplying us promptly with the additional documentation we request from time to time, you will enable us to meet the statutory requirements, and allow us to administer your account efficiently.

## UK Reporting Fund status (relevant to UK taxpayers)

UK investors who hold shares in a sub-fund of the Global Strategy Fund ('GSF') on 31 December each year (GSF's accounting year-end) may be liable to taxation on the amount of income paid out or accrued in the sub-fund. The position will differ depending on whether investors hold Income or Accumulation Shares.

For holders of Accumulation Shares it will also depend on whether a deemed disposal of Shares was made for UK tax purposes at the end of the calendar year prior to the sub-fund being granted Reporting Fund status (31 December 2010).

This deemed disposal would have crystallised any gains made from the point that the Shares were purchased to the date of deemed disposal i.e. on 31 December 2010 (where there would have been a deemed loss on disposal no election was required).

- Holders of Income Shares will be liable to UK income tax on the amount of income actually distributed and any excess of the reportable income which is not distributed.
- Holders of Accumulation Shares that made the deemed disposal or whose Shares stood at a loss at 31 December 2010 will be liable to UK income tax on the reportable income.
- Holders of Accumulation Shares who decided not to make a deemed disposal and whose Shares stood at a gain at 31 December 2010 will continue to be subject to UK income tax in respect of gains made on disposals of Shares.

Details of the reportable income in respect of the sub-fund's years to 31 December are available on our website at [www.ninetyone.com/reportingfunds](http://www.ninetyone.com/reportingfunds). This will take you to an externally hosted site, where you will be asked to register by providing your name and email address, accept user terms and set up a password to access all fund reports. Reportable income is required by UK investors to complete their UK tax returns.

# Important information (continued)

## **Important notice for clients with Ninety One holdings in a joint or common account in the event of a death of a shareholder**

In the event of a death of a shareholder within a joint or common account we detail the process below if you wish to re-register that shareholding. The process will be different according to whether you have a joint account or a common account.

### **Joint accounts**

Joint accounts are accounts opened by two or more people, where authority has been requested and granted for any of the joint holders to be able to sign on behalf of all shareholders on the account ('Joint Signatory Indemnity'). On these accounts the shareholding(s) may be re-registered into a new account in the name(s) of the surviving holder(s), assuming that the account is in good order. To do this we would require an instruction from all of the surviving account holder(s), a certified copy of the death certificate and a new registration form completed by the surviving holder(s).

### **Common accounts**

Common accounts are accounts opened by two or more people, where authority has not been requested or granted for any of the joint holders to be able to sign on behalf of all shareholder(s). On this basis all shareholders in a common account need to sign for any action involving the account.

On these accounts, as no Joint Signatory Indemnity is in place, we would require certified copies of the death certificate, Will and Grant of Probate. In addition to re-register the shareholding in the name of the new shareholder(s), we will also need a new registration form detailing the entitled beneficiary and an instruction from the shareholder(s), including the representative of the estate.

If you are unsure on whether your account is currently set up as joint account or common account, please feel free to contact us. For further questions about the rights of a surviving shareholder(s), please refer to an independent legal advisor.

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